

## Accelerated Publications

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### Entropic Exclusion by Neurofilament Sidearms: A Mechanism for Maintaining Interfilament Spacing<sup>†</sup>

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**ABSTRACT:** A long-range repulsive force near isolated neurofilaments was detected by exclusion of large molecules and by direct force measurements with atomic force microscopy. Adsorption of isolated native neurofilaments to a solid substrate in a high-salt solution (170 mM NaCl), in the presence of coisolating contaminants, shows that the contaminants are excluded from a zone that extends 50–100 nm from the core of the filament. Force–distance measurements by AFM show the presence of a weak repulsive force that extends >50 nm from the core of the filament; this repulsive force is absent in homopolymers of neurofilament L or trypsinized native filaments that lack the long sidearms present in native filaments. These results suggest that neurofilament sidearms form an entropic brush, thereby providing a mechanism for maintaining interfilament spacing.

Neurofilaments are major cytoskeletal components that play a key role in determining axonal diameter (1–4). Cross-sections of motor neuron axons show that filaments fill the bore of the axon, with a typical interfilament spacing in the range of 40–60 nm (4–6). Physical or chemical injuries that alter the local physiologic milieu or disrupt axonal transport create accumulations of densely packed neurofilaments (7–9). Accumulations also form in transgenic animals with neurofilament overexpression or mutations, changes that disrupt normal filament stoichiometry or assembly (10–14). These accumulations generally recapitulate processes seen in neurodegenerative diseases such as amyotrophic lateral sclerosis (15, 16), where the accumulations of neurofilaments are thought to be toxic intermediaries of the disease process.

Thus, the biological function of neurofilaments is likely to depend on the long-range interactions that maintain a large interfilament spacing.

Neurofilaments, members of the intermediate filament family, are composed of three major polypeptides: NF-L,<sup>1</sup> NF-M, and NF-H (apparent molecular masses 70, 150, and 200 kDa, respectively), with poorly defined stoichiometries within a filament (17, 18). Each neurofilament polypeptide shares homology with other intermediate filaments over a 300 amino acid sequence near the N-terminus, which comprises the filament core. However, unlike other intermediate filament proteins, the C-terminal ends of NF-M and NF-H continue beyond this core region by approximately 300 and 600 amino acids and contain many sites for phosphorylation (19–24) and glycosylation (25, 26). The

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<sup>1</sup> Abbreviations: AFM, atomic force microscopy; ALS, amyotrophic lateral sclerosis; DTT, dithiothreitol; EGTA, ethylene glycoltetraacetic acid; EM, electron microscopy; FV, force volume; HPLC, high pressure liquid chromatography; INN, isolated native neurofilaments; MES, morpholinoethanesulfonic acid; NF, neurofilament; SDS–PAGE, sodium dodecyl sulfate–polyacrylamide gel electrophoresis.

neurofilament cores have a diameter of approximately 10–12 nm with a 22 nm axial periodicity, similar to other intermediate filaments (27–29). In addition, neurofilaments have highly extended sidearms that in rotary shadow electron microscopy project ~85 nm from the core of the filament. These sidearms are composed of the C-terminal domains of the NF-M and NF-H subunits (30–32).

The interfilament spacing of neurofilaments appears to involve the long sidearms of NF-M and NF-H (4, 30, 31, 33). For example, traumatically induced damage increases neurofilament densities and appears to either collapse the neurofilament sidearms or cause sidearm proteolysis (9, 34, 35). In addition, transgenic mice that overexpress NF-L show a decrease in interfilament spacing (14), possibly due to reduced sidearm density. Two mechanisms to control interfilament spacing based on interactions of long sidearms with neighboring filaments have been put forward. One proposal, based primarily on electron microscopic evidence and the formation of gels of neurofilaments *in vitro*, is that the sidearms form cross-links between adjacent filaments (32, 36, 37). The second hypothesis proposes that the high degree of phosphorylation of the sidearms causes an electrostatic repulsion between sidearms (38). Here, we present evidence for an additional mechanism: that the thermally driven motion of the sidearms results in an entropic brush that maintains interfilament spacing.

## MATERIALS AND METHODS

**Purification and Reassembly of Neurofilaments.** Neurofilaments were isolated from bovine spinal cord as previously described (31). Briefly, diced bovine spinal cord was homogenized with buffer A (100 mM MES, 1.0 mM EGTA, and 0.5 mM  $\text{MgCl}_2$  at pH 6.5) in a concentration of 10 g of cord/16 mL of buffer. The homogenate was centrifuged at 34500g for 30 min at 4 °C. The supernatant was mixed to 30% glycerol and incubated at 37 °C for 20 min. The incubation mix was centrifuged at 158000g for 120 min at 20 °C. The pellet was resuspended in buffer C (100 mM MES, 1.0 mM DTT, and 170 mM NaCl at pH 6.5) for imaging as native neurofilaments.

For preparation of homopolymers of NF-L, pellets of native filaments were disassembled in buffer B (6 M urea, 1.0 mM DTT, 25 mM  $\text{NaH}_2\text{PO}_4$ , and 1.0 mM EGTA at pH 7.5); the subunits were separated by HPLC (Pharmacia Mono-Q HR 5/5) with a linear gradient of 0.5 M NaCl. SDS–PAGE was used to check the content and purity of the eluate. NF-L homopolymers were reassembled by open dialysis of the purified NF-L subunits against buffer C. SDS–PAGE of the isolated native neurofilament preparations shows the three major subunits and broad range of contaminating proteins. An 11000g centrifugation preferentially sediments the filaments, leaving the contaminants in the supernatant.

To examine sidearm-less isolated native neurofilaments, neurofilaments at a concentration of 0.5–2.0  $\mu\text{g}/\mu\text{L}$  were treated with trypsin (2  $\mu\text{g}/\text{mL}$ ) for 10 min, and Lima Bean trypsin inhibitor (50  $\mu\text{g}/\text{mL}$ ) was added to arrest digestion (39).

**Atomic Force Microscopy.** A Nanoscope Multimode AFM (IIIa controller; Digital Instruments, Santa Barbara, CA) equipped with a phase extender operating at room temperature was used in all experiments. For fluid tapping,

the cantilever holder used a piezo element to drive the entire holder and thereby the cantilever. Several scanners were available, but a J-type scanner with maximal *xy* range of ~150  $\mu\text{m}$  was used most often. For fluid imaging 85  $\mu\text{m}$  (base to free end) V-shaped silicon nitride cantilevers (F-type microlevers; Park Scientific, Sunnyvale, CA) were used. The tips of these cantilevers were modified by electron beam deposition (40). The frequency response spectra of silicon nitride cantilevers in solution are extremely complex; however, we attempted to drive the cantilevers near their natural resonant frequency of 30–35 kHz (in solution). Integral gain and proportional gains were initially ~0.5, the combination of amplitude and set point was set empirically, and the scan rate was ~2 Hz. All images were 512  $\times$  512 pixel (16 bit), data was processed using the Nanoscope software, and a set of tools based on the Interactive Data Language (Research Systems Inc, Boulder, CO) developed in our lab.

**AFM Force Volumes.** Force volumes are 2D arrays of individual force–distance curves collected on a surface. Force volumes used here were typically 128 nm and 64 pixels in each force distance curve and a 64  $\times$  64 array (4096 curves) over a 1  $\mu\text{m}$   $\times$  1  $\mu\text{m}$  area. The single curve scan rate was 4.0 Hz. The trigger threshold was relative to the zero deflection line. The piezo position at the trigger threshold was recorded as relative distance. Details on the force volume data collection are available in Support Note 240 Rev A from Digital Instruments, 112 Robin Hill, Santa Barbara, CA 93117. We used 320  $\mu\text{m}$  (base to free end) V-shaped silicon nitride cantilevers (C-type microlevers; Park Scientific, Sunnyvale, CA). The nominal spring constant for the cantilevers of 0.01 N/m was used to estimate the interaction force. The trigger thresholds were 5 nm (0.05 nN) and 15 nm (0.15 nN).

## RESULTS

Atomic force microscopy of isolated native neurofilaments (INN) adsorbed on a mica surface and imaged in an aqueous solution shows a well-defined filament and a rough background. The latter is produced by contaminants that coisolate and coadsorb with the filaments. However, surrounding the filament core, there is a 35–50 nm “exclusion zone” that is flat and does not contain any adsorbed contaminants (Figure 1A). Because the filament is broadened by the AFM tip, the actual zone is likely closer to 90 nm on either side of the core. This exclusion zone remains after a water rinse and air drying of the preparation (not shown). The effect is not seen in images of reassembled NF-L homopolymers adsorbed in the presence of the same contaminants found in the INN preparation (Figure 1B), and mixing of INN and NF-L preparations yields images with a mixture of filaments with and without exclusion zones (Figure 1C). The NF-L homopolymers differ from the INN in that they lack NF-M and NF-H, which both have long carboxy-terminal domains that extend away from the filament core. These protein domains therefore resemble the grafted polymers commonly used to stabilize colloidal suspension by entropic repulsion (41, 42). This suggests that the exclusion of material near the INN filament core could result from the thermally driven motion of the NF-M and NF-H sidearms (Figure 1D). Such exclusion of proteins has been seen for poly(ethylene oxide) copolymers, grafted onto solid supports (43, 44).

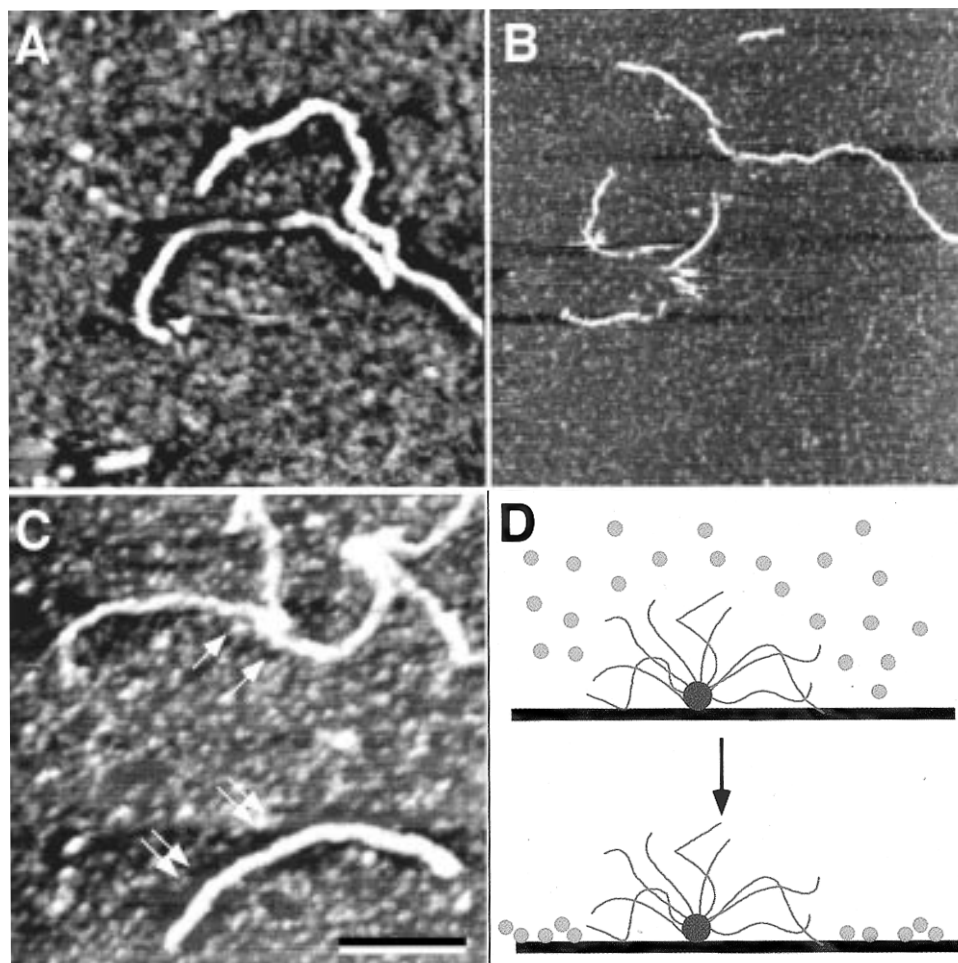


FIGURE 1: AFM image of native neurofilaments and NF-L homopolymers adsorbed to a mica surface (imaged by tapping mode in solution). (A) A 35–50 nm wide exclusion zone around the core of the native neurofilament is clearly visible. The sidearms themselves are not seen, presumably due to their rapid thermal motion. The background material is a mixture of contaminants that coisolate with the neurofilaments. There is some tip broadening, making the core of the native neurofilament appear wider than expected. (B) Homopolymers of NF-L placed in the INN supernatant do not show any exclusion near the core of the filament. The filaments and the background material appear thinner than in panels A or C because the tip is sharper. (C) A mixture of native neurofilament and NF-L filaments shows that some filaments in the preparation have exclusion zones (double arrow), while other filaments lack exclusion zones (single arrow). Scale bar, 500 nm. (D) A schematic showing an interpretation of the adsorption experiment. The neurofilaments adsorb rapidly to the substrate, presumably by electrostatic interactions. The sidearms do not interact strongly with the substrate and move rapidly, driven by thermal energy. During the subsequent adsorption the rapid motion of the sidearms excludes material from the region near the filament core. After adsorption and a rinse to remove material remaining in solution, the adsorbed material around the filament forms the exclusion zone seen in the AFM image.

If the INN sidearms behave like entropic brushes, they should exclude structures that are on the same length scale as the sidearms, and larger. Such a repulsion should act on the AFM tip (radius of curvature at the apex  $\sim 20$ – $50$  nm), and thus be detectable in a force–distance curve. Because of the difficulty in placing the tip exactly above a single neurofilament, to make a single force–distance curve, we collected arrays of force curves that covered a large area. These “force volumes” can be used to produce a 2-D map of the force–distance interactions in a given area and can also contain topographic information useful for navigating. By using feedback in the force–distance curves, it is possible to specify a maximum force (trigger threshold) that ends an approach, and retracts the tip. An image of the piezo height (an FV-height image) at the trigger reflects a contour of equal force. A 2D difference map showing the distribution of weak long-range interaction forces is produced by subtracting the FV-height image of a data set collected with a large trigger of 15 nm (0.15 nN) from one with a very small trigger of 5 nm (0.05 nN). Another way of describing this is that the difference maps are produced by subtracting an isoforce

image of the surface with the tip in close, hard contact (15 nm trigger) from an isoforce image where the tip is in distant, weak contact (5 nm trigger). The subtraction removes topographic and short-range interactions from the volume, leaving long-range interactions. For INN, these difference maps reveal interaction forces that extend  $>50$  nm above the filaments (Figure 2A). This interpretation of the image is supported by analysis of individual force–distance curves taken from the force volume at positions above the filaments and above the substrate. For NF-L homopolymers co-adsorbed with the supernatant from the INN preparation, the difference maps show very little contrast, and individual force–distance curves show only a weak repulsive interaction that extends to  $\sim 20$  nm (Figure 2B). Trypsinization of the INN, which preferentially digests the sidearms compared to the neurofilament core, also reduces the interaction force to a nearly negligible level (Figure 2C).

## DISCUSSION

On the basis of the two lines of evidence presented here, exclusion of coisolated material from the proximity of the

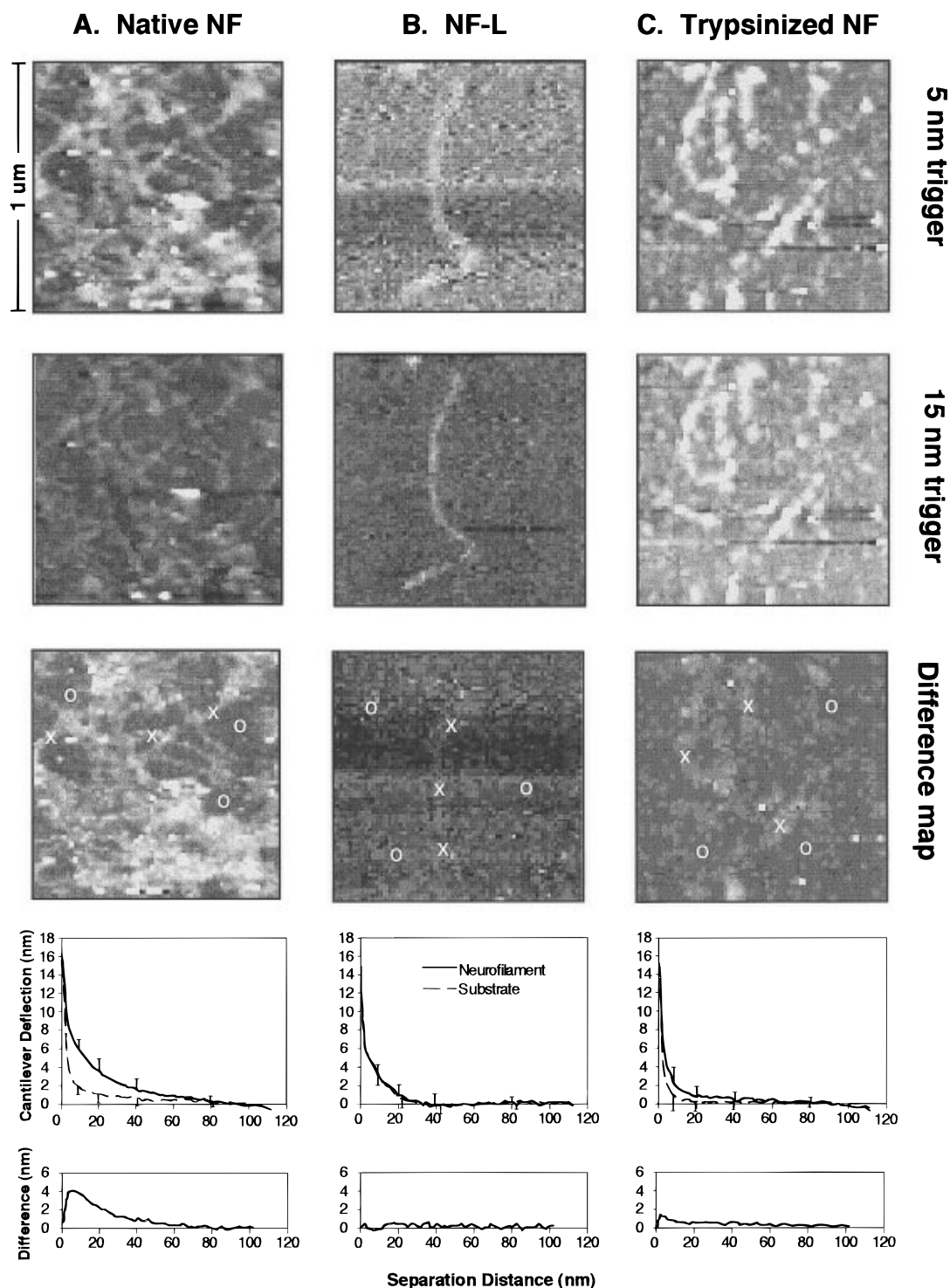


FIGURE 2: Difference maps derived from force volume data sets of native neurofilaments, NF-L homopolymers, and trypsinized native neurofilaments. (A) Isoform images and a difference map of native neurofilaments, made by subtracting an isoform image at the high deflection trigger (15 nm) from one at the low trigger (5 nm). The difference map shows high contrast between the filaments and the substrate, indicating the presence of forces that act at a distance. Xs and Os mark areas where representative force curves were sampled over neurofilaments and substrate, respectively. Comparing force curves from above the mica substrate ( $n = 20$ ) and above the native neurofilaments ( $n = 30$ ) confirms the presence of the long-range interaction above the neurofilaments. The cantilever deflection over the native neurofilaments begins at a separation distance of  $>50$  nm while the force curves over the substrate do not begin deflection until a separation distance of  $\sim 20$  nm. The average difference between the force curves collected on a neurofilament and on the mica, which shows the relative magnitude of the force as a function of distance, is shown beneath the force curves. This difference curve is related to the interaction potential, and falls off roughly exponentially with distance. This distance dependence is consistent with Alexander-deGennes theory, in which  $F(D) \approx 50kTs^{-3}e^{[-2\pi(D/L)]}$ , where  $F$  is the force on the probe tip,  $k$  is the Boltzmann constant,  $T$  is temperature,  $L$  is the brush length,  $s$  is the mean distance between polymers on the surface, and  $D$  is the separation distance (41). (B) Corresponding data for NF-L homopolymers coadsorbed with supernatant from the INN preparation shows essentially no contrast between the filaments and the mica substrate. Force curves taken over NF-L filaments ( $n = 16$ ) do not show cantilever deflection until a separation distance of  $<20$  nm is achieved, similar to the curves over substrate in panel A. (C) Following trypsinization of native neurofilaments, difference map force curves appears essentially identical to those obtained with the NF-L homopolymer.

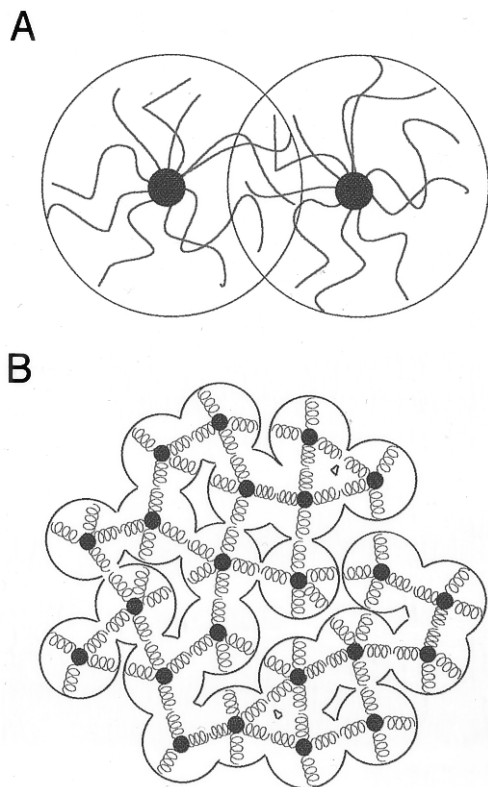


FIGURE 3: Proposed model for entropically controlled interfilament spacing. (A) The thermally driven motion of the sidearms (red) will give each filament an effective volume, depicted by the green circles, much larger than the core of the filament. Two of these volumes interacting would behave as a spring and resist compression (not to scale). (B) Thus, an assembly of neurofilaments (shown schematically in cross section) might be viewed as a collection of tubes separated along their length by entropic springs. These springs would only affect compression, unless tightly bound to an adjacent filament.

neurofilament core, and a repulsive interaction force that extends  $>50$  nm away from the core, we propose that the sidearms of neurofilaments form an entropic brush that is involved in determining the interfilament distance within the axon (Figure 3A). While the sidearms may have some structure dependent stiffness (i.e., enthalpic stiffness), the low density of sidearms per unit length, estimated at 5–10 sidearms per 22 nm by rotary shadow EM (32), suggests that a great deal of motion is necessary to produce an exclusion zone of 50–100 nm. Note that the sidearms seen by EM are in projection, and as they extend away from the core of the filament the sidearms become more widely separated. Simple geometric considerations suggest that at 60 nm away from the core the average distance between sidearms is 28 nm. Neither of the two experiments presented here can be explained solely by electrostatic interactions. The inverse Debye length in 170 mM monovalent salt is on the order of 0.7 nm, and hence the electrostatic forces become insignificant at distances more than several nanometers away (41, 42); whereas the effects seen here act in the range 10–100 nm. However, electrostatic interactions may play a role in close contacts between the sidearms or the conformation of the sidearms.

There is substantial evidence that neurofilaments are involved in determining axonal bore (1–6). The results presented here provide a physical mechanism by which the filaments achieve a large effective volume, enabling them to behave as elastic tubes with effective diameters on the

order of 100–200 nm. This allows a relatively small number of filaments to fill the axon, while permitting free diffusion of solutes and small molecules. We also suggest that the entropic movement of the neurofilament sidearms underlies a critical role of neurofilaments in the maintenance of axonal bore. Axons extend over large distances and are subject to many forces, including compression; a bundle of neurofilaments separated by entropic “springs” could protect the axon against compression (Figure 3B). Indeed, in response to external compression, neurofilaments form a more ordered cytoskeleton, with interfilament distances decreased to 25 nm from their normal 50 nm (45), as might be expected with an increased repulsive force between the filaments. Furthermore, agents or conditions that disturb the behavior of the sidearms, such as increasing their affinity for each other or the filament core, would cause an aggregation of the filaments similar to coagulation or flocculation seen in other colloidal systems (41).

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